Alcohol Inhibits Smooth Muscle Cell Proliferation via Regulation of the Notch Signaling Pathway

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Objective—To determine the role of Notch signaling in mediating alcohol’s inhibition of smooth muscle cell (SMC) proliferation.

Methods and Results—Treatment of human coronary artery SMCs with ethanol (EtOH) decreased Notch 1 mRNA and Notch 1 intracellular domain protein levels, in the absence of any effect on Notch 3. Ethanol treatment also decreased C-promoter binding factor-1 (CBF-1)/recombination signal-binding protein (RBP)-jk promoter activity and Notch target gene (hairy related transcription factor [HRT-1] or HRT-2) expression. These effects were concomitant with an inhibitory effect of EtOH on SMC proliferation. Overexpression of constitutively active Notch 1 intracellular domain or human hairy related transcription factor-1 (hHRT-1) prevented the EtOH-induced inhibition of SMC proliferation. In vivo, Notch 1 and HRT-1 mRNA expression was increased after ligation-induced carotid artery remodeling. The vessel remodeling response was inhibited in mice that received “moderate” amounts of alcohol by gavage daily; intimal-medial thickening was markedly reduced, and medial and neointimal SMC proliferating cell nuclear antigen expression was decreased. Moreover, Notch 1 and HRT-1 expression, induced after ligation injury, was inhibited by moderate alcohol consumption.

Conclusion—EtOH inhibits Notch signaling and, subsequently, SMC proliferation, in vitro and in vivo. The modulation of Notch signaling in SMCs by EtOH may be relevant to the cardiovascular protective effects of moderate alcohol consumption purported by epidemiological studies. (Arterioscler Thromb Vasc Biol. 2010;30:2597-2603.)

Key Words: alcohol ■ Notch ■ SMC growth ■ vascular remodeling ■ intimal-medial thickening ■ atherosclerosis ■ restenosis

Although the probability of cardiovascular disease and morbidity increases with heavy alcohol (ethanol [EtOH]) intake,1–3 studies indicate that regular moderate alcohol consumption is associated with a reduced incidence and severity of atherosclerosis and its clinical sequelae, including myocardial infarction and ischemic stroke.4,5 “Moderate” alcohol consumption is generally considered to be in the range of 1 to 3 drinks per day, giving rise to blood alcohol concentrations (BACs) of 5 to 25 mmol/L.5 Morbidity and mortality attributable to coronary heart disease are reportedly 20% to 40% lower in light-to-moderate drinkers compared with abstainers.5,6 Beneficial effects of alcohol at the level of plasma lipoproteins and on platelets, endothelial cells, and smooth muscle cells (SMCs) have been reported. However, the precise signaling and molecular mechanisms by which EtOH elicits its putative cardioprotective effects are not yet fully understood.

One potentially novel target for alcohol is the Notch signaling pathway. It is apparent that Notch plays a pivotal role in the differentiation and function of adult vascular SMCs (VSMCs), whose growth and migration are key processes in the pathophysiological features of atherosclerosis and in vessel remodeling in general. Several groups have described a role for Notch signaling in vitro and in vivo in repressing VSMC differentiation, an effect that is mediated via the induction of its target genes (hairy enhancer of split [HES] and HRT).8–10 Studies in animal models of vascular injury demonstrate that the expression of several components of the Notch pathway, including Notch 1 and 3, Jagged 1 and 2, and Notch target genes, are altered after experimentally induced vascular injury; and that Notch is a critical factor in determining the SMC proliferative response.5,11–13 Recent evidence points to a preferential role for Notch 1, rather than Notch 3, in mediating neointimal formation after vascular injury.14 Researchers have previously reported an inhibitory effect of EtOH on SMC proliferation15–17 and an EtOH-induced reduction in phenotype conversion of SMCs and in neointimal formation after balloon injury has been reported in animal models.18–20 The current study examined whether modulation of Notch signaling may mediate alcohol’s inhibitory effect on VSMC proliferation in vitro and in vivo.

Methods

Cell Culture and EtOH Treatment

Human coronary artery SMCs (HCASMCs) were commercially obtained and cultured in optimized SMC medium (Clonetics), supplemented with human (h) epidermal growth factor, insulin, h...
fibroblast growth factor, and 5% FCS. Cells were characterized by staining positively for SMC α-actin and calponin and weakly for myosin and smoothelin.

EtOH, 200 proof, was diluted in media to achieve the desired concentration before being added to SMC cultures (in multimwell plates or Petri dishes, wrapped in parafilm). For animal studies, EtOH (0.8 g/kg) in a total volume of 200 μL was delivered directly into the stomach of mice by oral gavage using a bulb-tipped gastric gavage needle attached to a syringe. This treatment resulted in a peak BAC of approximately 15 mmol/L. The control group was gavaged with a calorically matched water-cornstarch mixture.

**BAC of approximately 3.5 mmol/L.**

The control group was gavaged into the stomach of mice by oral gavage using a bulb-tipped gastric gavage needle. The control group was gavaged into the stomach of mice by oral gavage using a bulb-tipped gastric gavage needle. The control group was gavaged into the stomach of mice by oral gavage using a bulb-tipped gastric gavage needle.

2.5 μg of siRNA targeting Notch 1 or a scrambled negative control siRNA (catalogue No. 4611) in 75 μL of siRNA electroporation buffer.

**Mouse Carotid Artery Partial Ligation**

The carotid artery ligation model of vascular injury and remodeling was performed essentially as described by Korshunov and Berk. All procedures were approved by the University of Rochester Animal Care Committee. After buprenorphine analgesia and induction of anesthesia using inhalational isoflurane, the mouse was positioned on a clean operating table, with a warming pad to maintain body temperature. The animal was clipped, and the surgical site was prepared using betadine solution and alcohol. A midline cervical incision was made, with the aid of a dissecting microscope. The left external and internal carotid arterial branches were ligated and ligated with a 6-0 silk suture, reducing left carotid blood flow to flow via the patent occipital artery. The neck incision (2 layers, muscle and skin) was closed with a running suture using 4-0 coated Vicryl. Ligation of the carotid artery in this manner results in a decrease in blood flow in the left carotid artery, concomitant with an increase in the right carotid artery, with an intact endothelial monolayer, when compared with a sham-operated control.

**Preparation of Carotid Artery RNA**

Mice were perfused with heparin-saline solution. Carotid arteries were collected in Trizol and homogenized using a tissue disperser (Ultra-Turrrax), and RNA was prepared according to the manufacturer’s specifications.

**Immunohistochemistry and Histomorphometry**

Mice were perfusion fixed with 10% paraformaldehyde in sodium phosphate buffer (pH 7.0), 14 days after ligation; and the carotids were harvested and embedded in paraffin. Starting 500 μm below the carotid bifurcation landmark, a series of cross-sections (10×5 μm) was made, every 200 μm through 2 mm length of carotid artery. Cross-sections were stained with Verhoeff–van Gieson stain for elastic laminae, and sections were imaged using a microscope (Nikon TE300) equipped with a digital camera (Spot RT). Digitized images were analyzed using microcomputer imaging device software. Assuming a circular structure in vivo, the circumference of the lumen was used to calculate the lumen area; the intimal area was defined by the luminal surface and internal elastic lamina; the medial area was defined by the internal elastic lamina and the external elastic lamina; and the adventitial area was the area between the external elastic lamina and the outer edge, essentially as previously described by Korshunov and Berk. For immunohistochemistry, sections were stained with α-actin (Abcam, 1:100 dilution), PCNA (using a kit), or isotype control IgG, followed by biotinylated secondary antibody and streptavidin–horseradish peroxidase. Specimens were then developed with diaminobenzidine/water substrate and counterstained with Harris hematoxylin.

Carotid sections were imaged using a microscope (Nikon TE300) equipped with a digital camera (Spot RT).

**Blood and Media EtOH Concentration**

Whole blood samples, collected by submandibular bleeding, were diluted 1:5 with water (100 μL of blood plus 400 μL of water) and analyzed for EtOH using head-space gas chromatography on an instrument by the Strong Health Clinical Laboratories (University of Rochester Medical Center, Rochester, NY). The method uses N-propanol as the internal standard and is sensitive to 10 ng/mL of EtOH. The media EtOH concentration over time was determined, in the absence or presence of SMCs, using an EtOH assay kit.

**Data Analysis**

Results are expressed as mean±SEM. Experimental points were performed in triplicate, with a minimum of 3 independent experiments (SMCs) or a minimum of 5 animals per group. An ANOVA was performed on cell count data, and a Wilcoxon signed-rank test
was used for comparison of 2 groups when compared with normalized control. \( P \leq 0.05 \) was considered significant.

**Results**

**EtOH Inhibits SMC Proliferation**

We confirmed the inhibitory effect of EtOH on the proliferation of SMCs by measuring HCASMC counts and PCNA expression as an index of cell growth. Daily exposure of HCASMCs to EtOH (25 mmol/L) significantly decreased their proliferation over 7 days (Figure 1A). In addition, PCNA expression was significantly decreased (50% to 75%) after EtOH treatment (25 mmol/L for 24 hours) (Figure 1B). The effect of EtOH on HCASMC apoptosis was determined by flow cytometry detection using a stain (Annexin V/7-amino-actinomycin D [AAD]). EtOH treatment caused a small increase (approximately 3% to 4%) in the percentage of apoptotic SMCs (Figure 1C).

**EtOH Inhibits Notch Signaling in HCASMCs**

HCASMCs were treated with EtOH, and Notch receptor and target gene mRNA and protein levels were determined. EtOH treatment (25 mmol/L for 24 hours) significantly decreased (approximately 65%) Notch 1 mRNA expression and Notch 1 intracellular domain (IC) protein expression in these cells (Figure 2A and 2C). EtOH also significantly decreased the mRNA expression of the Notch target genes \( HRT-1 \) and \( HRT-2 \) (Figure 2B). No significant effects on Notch 3 mRNA or N3 IC protein levels were observed after treatment with EtOH (Figure 2). In contrast to EtOH, methanol and butanol had no significant effect on either Notch expression or PCNA levels in HCASMCs (supplemental Figure I; available online at http://atvb.ahajournals.org). In parallel cultures, EtOH treatment decreased baseline transactivation of CBF-1/RBP-JK–dependent promoter activity (57.0 ± 7.0% and 64.0 ± 6.0% decrease for 10- and 25-mmol/L EtOH, respectively) (Figure 2D).

**Overexpression of Notch 1 IC or HRT-1 Blocks Inhibition of SMC Proliferation by EtOH**

HCASMCs were transfected with constitutively active Notch 1 IC, then treated with EtOH for 24 hours. Their PCNA protein levels were determined by Western blotting. Overexpression of Notch 1 IC in these cells was confirmed by Western blotting (data not shown). EtOH treatment (25 and 50 mmol/L) decreased (approximately 50%) SMC PCNA levels (Figure 3A). Notch 1 IC overexpression significantly increased PCNA, compared with mock transfected cells, and blocked the inhibition of PCNA by EtOH (Figure 3A). Furthermore, overexpression of HRT-1 also increased SMC PCNA levels and cell proliferation and blocked the EtOH inhibitory effect (Figure 3B).

**Effect of Notch 1 Knockdown on SMC Proliferation and the EtOH Effect**

Gene silencing of Notch 1 with specific targeted siRNA duplexes was confirmed at the mRNA and protein level. Inhibition of Notch 1 with siRNA, or treatment with EtOH, inhibited SMC growth (supplemental Figure II) to a similar extent. There was no significant additive or synergistic effect of using Notch 1 siRNA and EtOH together, suggesting that
EtOH does not have antiproliferative effects independent of Notch 1.

Effect of EtOH Feeding on BACs
Male C57Bl/6J wild-type mice, aged 8 to 10 weeks, each weighing approximately 24 g, received either 1.0-g/kg alcohol (EtOH) or 0.8 g/kg in 200 μL of water by oral gavage. Samples of blood (200 μL) were obtained 30, 60, and 120 minutes after administration; and BAC was determined by head-space gas chromatography. The BACs recorded were approximately 23 and 15 mmol/L, respectively, after 30 minutes, with levels becoming undetectable (<2.2 mmol/L) after only 2 hours (supplemental Figure IIIA). We decided to use 0.8-g/kg EtOH as our experimental dosing regimen. The effect of daily alcohol consumption on mouse body weight was also determined. There was a small, but significant, decrease in body weight in both groups after carotid ligation that was regained by the end of the experimental period; however, there was no difference in body weight over time between the alcohol and control groups (supplemental Figure IIIB).

EtOH Feeding Inhibits Vessel Remodeling and Notch 1 mRNA Induction After Carotid Ligation
Partial ligation of the carotid artery in a wild-type C57BL/6J mouse induced a reproducible remodeling response, assessed 2 weeks after ligation, that included neointimal lesion formation and an increase in medial and adventitial volume compared with sham-operated vessels (Figure 4, Figure 5, and supplemental Figure IV). The remodeling response was inhibited in mice that received moderate levels of alcohol (EtOH, 0.8 g/kg; peak BAC, approximately 15 mmol/L) daily by gavage. Specifically, the neointimal, medial, and adventitial volumes were significantly decreased in alcohol-fed ligated mice compared with control ligated mice such that they approached sham vessel values (Figures 4 and 5). The intima:media ratio was 1.30 ± 0.29 versus 0.14 ± 0.08 for control versus alcohol-fed animals (n = 6 in each group).

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**Figure 2.** EtOH inhibits Notch signaling in HCASMCs. A and B, Quantitative RT-PCR analysis of Notch 1 and Notch 3 receptors (A) and Notch target gene HRT-1 and HRT-2 mRNA (B) after EtOH (25 mmol/L) treatment for 24 hours. Data are normalized to GAPDH and represent the mean±SEM (n = 4). C, Representative Western blots, together with cumulative data showing a decrease in Notch 1 IC but no effect on Notch 3 IC with EtOH (25 mmol/L) treatment. (Blots were reprobed for β-actin as a loading control.) Data are given as the mean±SEM (n = 4). D, CBF-1/RBP-Jκ-dependent promoter activity, evaluated by luciferase assay and normalized to β-galactosidase activity, after EtOH treatment for 24 hours. Data are given as the mean±SEM (n = 3). *P<0.05 vs control.

**Figure 3.** Notch 1 IC or HRT-1 overexpression blocks inhibition of SMC proliferation by EtOH. A and B, SMCs were transfected without (mock) or with constitutively active Notch 1 IC (A) or hHRT-1 (B) and treated with EtOH for 24 hours; PCNA protein levels were measured by Western blot as an index of proliferation. Blots were reprobed for β-actin or GAPDH as a loading control. A, *P<0.05 vs mock control (0-mmol/L EtOH). A representative Western blot is shown, with cumulative data (n = 3). B, Top, A representative Western blot of 3 is shown; bottom, growth curves for control and EtOH-treated cells, with or without HRT-1 overexpression.
group), respectively (supplemental Figure IV). PCNA expression, determined by immunohistochemistry, was increased in medial and neointimal SMCs in ligated controls; this was markedly inhibited in alcohol-fed mice (Figure 5). Interestingly, in sham-operated animals, daily alcohol feeding caused an increase in carotid artery lumen volume, compared with control mice. However, there was no difference in the lumen volume of remodeled vessels in the control and alcohol-fed animals (Figure 4).

Notch 1 and Notch 3 mRNA levels were determined in the carotid arteries of control and alcohol-fed mice. In control animals, compared with sham vessels, there was a significant increase in Notch 1, but not Notch 3, mRNA expression in ligated remodeled vessels. This injury-induced increase in Notch 1 was completely inhibited by alcohol feeding (Figure 6A). Similarly, HRT-1 mRNA induced after injury was inhibited in alcohol-fed mice, compared with controls (Figure 6B).

**Discussion**

We demonstrated that alcohol (EtOH) inhibits VSMC proliferation in vitro by inhibiting Notch signaling in these cells. Moreover, daily alcohol feeding markedly reduced intima-media thickening concomitant with inhibition of induced Notch 1 and HRT expression after carotid ligation injury in the mouse. These findings provide new mechanistic data that may be relevant to how moderate alcohol consumption affects SMC proliferation and vascular remodeling and, consequently, cardiovascular mortality.

In the adult, SMCs proliferate at an extremely low rate and their principal function is contraction and regulation of blood vessel diameter. Unlike either skeletal or cardiac muscle, which is terminally differentiated, SMCs within adult animals retain plasticity and can undergo reversible changes in phenotype in response to a variety of stimuli, including growth factors and hemodynamic forces. An example of this plasticity is seen in response to vascular injury when SMCs dramatically increase their rate of cell growth and synthetic capacity (including the production of extracellular matrix components). VSMC proliferation plays a critical role in atherosclerosis and during restenosis after angioplasty and its regulation; therefore, it is of considerable clinical interest.

Previous studies have investigated the effect of alcohol on SMC growth and on injury-induced vascular remodeling. Alcohol inhibited SMC proliferation and migration in vitro, inhibited neointimal hyperplasia after balloon injury in animals, reduced restenosis after percutaneous transluminal coronary angioplasty and stent implantation in patients, and inhibited the progression and initiation of atherosclerotic lesions in hyperlipidemic mice. Herein, we demonstrate that alcohol treatment inhibits HCASMC proliferation in vitro and that daily feeding of alcohol, in amounts considered equivalent to those found in moderate drinkers, inhibited carotid artery vessel remodeling (ie, intimal-media thickening) in response to flow reduction. Because SMCs are the predominant cell type responsible for intimal-medial thickening in this model and given our in vitro findings, our data are supportive of the concept that moderate alcohol consumption inhibits SMC proliferation and, thus, vascular remodeling. EtOH feeding also strongly inhibited the increase in adventitial volume seen in this model. The adventitia is predominately composed of fibroblasts and connective tissue cells; thus, the inhibitory effect of alcohol on the adventitia cannot be ascribed to inhibition of VSMC growth and warrants separate investigation.

Notch signaling is an important regulator of cell fate decisions during embryogenesis and also in adults in certain circumstances. SMCs preferentially express the receptors Notch 1 and Notch 3. Engagement of Notch by ligand results in 2 cleavage events that allow release of the IC of Notch.
antiproliferative effect, supporting a role for Notch 1–regulated gene expression in the regulation of SMC growth. It was also demonstrated that hemodynamic forces, such as cyclic strain, can modulate Notch receptor signaling and proliferation in VSMCs. Moreover, previous studies suggest that the expression of several Notch components, including receptors (Notch 1 and 3), ligands (Jagged 1 and Jagged 2), and target genes (HRT-1 and HRT-2) are altered after experimentally induced vascular injury (balloon catheter denudation) in human atherosclerotic lesions and after flow-induced injury. Intimal hyperplasia after vascular injury (wire injury) was significantly decreased in HRT-2−/− mice and SMCs from HRT-2−/− mice revealed that these mutant cells proliferate at a reduced rate compared with wild-type cells. The overexpression of HRT-1 or HRT-2 in VSMCs led to increased VSMC proliferation associated with reduced levels of the cyclin-dependent kinase inhibitors p21waf1/cip1 (Cdkn1a) or p27kip1 (Cdkn1b).

However, despite the evidence supporting an important role for Notch in regulating SMC proliferation/vascular remodeling, and the growth inhibitory effects of EtOH on SMCs, to our knowledge, there have been no previous studies investigating the effect of alcohol on the Notch pathway in SMCs. Therefore, our study represents the first evidence of an alcohol-induced modulation of Notch signaling in SMCs. EtOH, at the dose studied, inhibited Notch 1 in HCASMCs, in the absence of any effect on Notch 3. Moreover, Notch 1 mRNA expression was exclusively induced in the carotid artery after ligation injury, an induction that was inhibited by alcohol feeding. Our data support the important role of Notch 1 IC (but not Notch 3 IC) in contributing to the intimal hyperplasia typical of this animal model. This fits well with the recent report by Li et al., indicating a role for Notch 1, but not Notch 3, in SMCs in mediating neointimal formation in a carotid ligation vascular injury model. Notch signaling is a tightly controlled pathway with several potential regulatory points. Studies investigating precisely how EtOH inhibits Notch signaling in SMCs are under way in our laboratory. Interestingly, it was recently reported that EtOH promotes Notch activity and proliferation in endothelial cells, the opposite of the effect seen herein in SMCs. Taken together, our studies point to an intriguing vascular cell-specific effect of EtOH with respect to Notch that merits further investigation.

In conclusion, alcohol, at levels consistent with moderate consumption, inhibits Notch signaling and, thus, proliferation in VSMCs in vitro and during vessel remodeling in response...
to flow reduction in vivo. Modulation of Notch by alcohol in SMCs may be an important mechanism involved in alcohol’s purported cardiovascular protective effects.

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Disclosures
None.

References
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Supplemental Fig I. Comparison of the effect of different alcohol’s on (a) Notch 1 and 3 mRNA and (b) PCNA protein levels in HCASMC. Cells were treated with methanol, butanol or ethanol at a concentration of 25 mM for 24 h. Data are mean ± Sem, n=3. *p<0.05 vs control.
Supplemental Fig II. HCASMC transfected with scrambled RNA (control) or with an siRNA targeted to Notch 1, were treated with or without ethanol. Cell counts of parallel wells were made on a daily basis. A representative experiment is shown with data points the mean ± SEM of triplicate determinations.
Supplemental Fig III. Alcohol Gavage in Mice; Effect on Blood Alcohol Levels and Body Weight. (a) Effect of alcohol administration on blood alcohol content (BAC) in C57BL/6J mice. Alcohol (EtOH, 1.0 g/kg or 0.8 g/kg) was administered by oral gavage and blood was then collected at various time points by submandibular bleed. BAC was determined in whole blood samples by head-space gas chromatography. *p<0.05 vs t 0. N=3-7. (b) C57BL/6J mice were gavaged daily for 22 days with either EtOH (0.8 g/kg) or an isocaloric control mixture. Carotid ligation (CAL) was performed on day 8. Graph shows change in body weight for the EtOH and control groups over the experimental period.
Supplemental Fig IV. (a) Intima/media ratio data calculated for control and alcohol-fed ligated mice (n=6 animals) (* p< 0.007). (b) Stacked bar graph showing vessel compartment volumes in the different experimental groups.