Oral, Direct Factor Xa Inhibitors in Development for the Prevention and Treatment of Thromboembolic Diseases

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Abstract—Anticoagulants are recommended for the prevention and treatment of a wide variety of thromboembolic events. Although existing anticoagulants are effective, their use is limited by parenteral administration or the requirement for frequent monitoring and subsequent dose adjustment. Therefore, there is an urgent need for novel, oral agents with a predictable anticoagulant action. Because of its key position in the coagulation cascade and its limited roles outside of coagulation, Factor Xa has emerged as an attractive target for novel anticoagulants. As a result, the past decade has witnessed an explosion of research into small-molecule, oral, direct Factor Xa inhibitors, and several are now in clinical development. Rivaroxaban, LY517717, YM150, apixaban, PRT054021, and DU-176b, among others, have shown considerable promise; rivaroxaban is currently furthest ahead in its developmental program, having entered phase III in 3 indications. It is hoped that, before long, these anticoagulants will allow us to enter an era of convenient, oral anticoagulation, without the need for regular monitoring or dose adjustment. (Arterioscler Thromb Vasc Biol. 2007;27:1238-1247.)

Key Words: anticoagulant ■ atrial fibrillation ■ Factor Xa inhibitor ■ stroke ■ venous thromboembolism

Since the discovery of heparin in 1914, the use of anticoagulants has greatly advanced the prevention and treatment of life-threatening thromboembolic events. Current guidelines now recommend the short-term or long-term use of these drugs in a wide variety of indications, including the prevention of venous thromboembolism (VTE)—manifesting as deep vein thrombosis (DVT) or pulmonary embolism (PE)—in patients with acute medical illness or those undergoing major orthopedic or general surgery, and for the immediate treatment and longer-term secondary prevention of acute DVT and PE. Anticoagulant therapy is also recommended for the long-term prevention of ischemic stroke in patients with atrial fibrillation (AF) and the prevention of recurrent myocardial infarction in patients with acute coronary syndromes, such as unstable angina or non-ST-elevated myocardial infarction.

Currently, available options for anticoagulation include treatment with unfractionated heparin (UFH), low molecular weight heparin (LMWH), vitamin K antagonists ([VKAs] including warfarin), and, more recently, the synthetic pentasaccharide fondaparinux (Arixtra). Although these drugs have proved effective in treating and reducing the risk of thromboembolic disease, they are associated with significant drawbacks that limit their use and acceptability in the clinical setting. UFH, LMWH, and fondaparinux are administered parenterally, making them inconvenient and costly for long-term use, particularly outside of the hospital setting where visits to or from a health care professional may be required if patients are unwilling or unable to self-administer. VKAs are the only available oral anticoagulants; however, their narrow therapeutic window, unpredictable pharmacology, and numerous food and drug interactions necessitate frequent, inconvenient, and costly monitoring and dose adjustment to ensure that anticoagulant effects remain within the therapeutic range. As a result, VKAs are difficult to manage—studies...
show that <50% of patients using the VKA warfarin are within the therapeutic range in the community setting; therefore, the remaining patients are under-anticoagulated or over-anticoagulated, which places them at increased risk for experiencing thromboembolic events or bleeding, respectively. In a recent qualitative study, it was not surprising to find that levels of patient dissatisfaction with warfarin treatment were high. Another study revealed a sense of resignation among long-term warfarin users with AF—these patients had adapted their lifestyles to accommodate the inconveniences associated with treatment, because they recognized that warfarin is a life-sustaining therapy, which has been shown to be effective.

Thus there is a real unmet clinical need for novel oral anticoagulants without the requirement for frequent monitoring and dose adjustment. This is a need made more urgent by the increasing number of people presenting with risk factors for thromboembolic events (as a result of the rapidly ageing population) and by the trend for shorter hospital stays and early patient discharge after many procedures.

Several drugs are currently in development in an attempt to meet this need. Unlike the more traditional anticoagulants (UFH, LMWH, and VKAs) that target multiple enzymes in the coagulation cascade, the new drugs inhibit single enzymes. This review focuses on the rationale for inhibition of Factor Xa (FXa), a crucial component of the coagulation cascade, and summarizes the preclinical and clinical findings of the oral FXa inhibitors currently in development, all of which are direct FXa inhibitors.

Direct Factor Xa Inhibition as a Mechanism for Novel Anticoagulants

Inhibition of any one enzyme in the coagulation cascade (Figure) should reduce the formation of fibrin polymers from fibrinogen, thus decreasing clot formation. Therefore, in theory, any single enzyme in the cascade would be a suitable target for a novel anticoagulant. For example, Factor IXa is the target for TTP889 (Transtech Pharma), an oral anticoagulant currently in development. However, only thrombin and FXa are common to both the intrinsic and extrinsic activation pathways, meaning that direct inhibitors of either of these enzymes may provide more effective anticoagulation than inhibitors of other enzymes in the cascade.

The amount of an activated coagulation factor generated from its inactive precursor increases at each level of the coagulation cascade. Therefore, it seems logical that targeting FXa could be a more effective strategy for anticoagulation than targeting downstream thrombin. Moreover, FXa is known to be the primary site of amplification; one molecule of FXa catalyzes the formation of ≈1000 thrombin molecules. There are several other arguments to suggest that FXa may be a better target than thrombin for new anticoagulants. Thus far, the only known functions of FXa are in promoting coagulation and inflammation. In addition to its procoagulant role, thrombin, however, also plays an important role in anticoagulation and anti-inflammation through thrombin–thrombomodulin-mediated activation of protein C. Thrombin is also a promoter of inflammation and cellular proliferation. Through disruption of these additional functions, inhibition of thrombin may be more likely to have effects outside coagulation than inhibition of FXa. Although FXa inhibitors would inhibit thrombin generation via the prothrombinase complex, they may allow the vital functions of existing thrombin to continue, thus potentially maintaining hemostasis at sites of hemostatic challenge.

FXa has also been shown to activate clotting over a much wider concentration range than thrombin in model systems and in vitro assays, suggesting that FXa inhibitors may have a wider therapeutic window than thrombin inhibitors. Therefore, it may be easier to maintain a patient’s blood level of FXa inhibitor within the therapeutic range. In support of this, a recent clinical dose-finding study of the direct thrombin inhibitor dabigatran etexilate (Rendix) for stroke prevention in patients with AF demonstrated that only 1 of the 5 regimens tested had adequate efficacy without an unacceptably increased incidence of major bleeding.

Furthermore, rebound thrombin generation has been reported after withdrawal of drugs that inhibit thrombin (e.g.,
TABLE 1. Pharmacologic Properties of Oral Direct FXa Inhibitors in Advanced Clinical Development27,61–63,73,74

<table>
<thead>
<tr>
<th>Drug</th>
<th>Manufacturer</th>
<th>Kᵢ for FXα (nM)</th>
<th>Half-life (hours)</th>
<th>Time to Cmax (hours)</th>
<th>Bioavailability (%)</th>
<th>Mode of Excretion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rivaroxaban</td>
<td>Bayer HealthCare AG and Scios, Inc.</td>
<td>0.4</td>
<td>5–9* (11–13 in elderly subjects)</td>
<td>2.5–4*</td>
<td>60–86†</td>
<td>Biliary/fecal (28%); renal (66%)</td>
</tr>
<tr>
<td>LYS17717</td>
<td>Lilly</td>
<td>4.6–6.6</td>
<td>~25*</td>
<td>—</td>
<td>25–82†</td>
<td>Primarily gastrointestinal</td>
</tr>
<tr>
<td>YM150</td>
<td>Astellas</td>
<td>31</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Apixaban</td>
<td>Bristol-Myers Squibb</td>
<td>0.8</td>
<td>&gt;10†</td>
<td>—</td>
<td>34–88†</td>
<td>Multiple, including renal and fecal</td>
</tr>
</tbody>
</table>

Cmax indicates maximum plasma concentration.
* Determined in healthy subjects.
† Determined in animal models.

UFH and LMWHs), leading to thrombin levels that are significantly greater than before or during treatment.26,27 This exaggerated reactivation of the coagulation system can rapidly result in thrombus growth and subsequent ischemic events. Rebound thrombin generation after withdrawal of UFH and LMWH may be caused by the pool of active fibrin-associated thrombin, which UFH and LMWH are unable to inhibit.26 It may also be caused by depletion of the natural anticoagulant tissue factor pathway inhibitor (tissue factor pathway inhibitor); LMWH and UFH stimulate release of tissue factor pathway inhibitor from endothelial cells (which contributes to the antithrombotic effect of both drugs), but their prolonged use may result in depletion of tissue factor pathway inhibitor.27 These 2 possible causes of rebound thrombin generation may only be applicable to heparin use, and not thrombin inhibition in general, because a possible rebound phenomenon was associated with the direct thrombin inhibitor ximelagatran, which does not stimulate release of tissue factor pathway inhibitor and is able to inhibit fibrin-associated thrombin.26 Low levels of the natural anticoagulant activated protein C, a third possible cause of rebound thrombin generation, are a result of thrombin inhibition in general. Therefore, low levels of activated protein C could account for rebound thrombin generation after withdrawal of both heparins and direct thrombin inhibitors.27,28 In theory, low levels of activated protein C should not be an issue with a direct FXa inhibitor.

Finally, the possible superiority of FXa over thrombin as a potential target for novel anticoagulants may also be inferred from the observed increase in efficacy of heparin-based anticoagulants as their selectivity for FXa increases. LMWHs have a higher ratio of FXa-to-thrombin inhibition (the actual ratio is specific to each LMWH) than UFH. Fondaparinux, a synthetic pentasaccharide corresponding to the heparin sequence that bridges antithrombin (AT) to FXa, inhibits FXa and not thrombin. The superior efficacy of LMWHs over UFH for the prevention of VTE after orthopedic surgery, and of fondaparinux over LMWHs, has been demonstrated in numerous large-scale randomized studies.29–31 However, another possible explanation for this observation may be provided by nonspecific binding of heparin-based anticoagulants to plasma proteins, which occurs to a lesser extent with LMWH than UFH, and not at all with fondaparinux.32,33 Therefore, clinical evidence and theoretical arguments point toward FXa as a very promising target for anticoagulant therapy. Also, there is reason to believe that direct FXa inhibition may be superior to indirect AT-mediated inhibition. At therapeutic doses, AT-bound indirect FXa inhibitors, such as UFH, LMWH, and fondaparinux, are unable to inhibit FXa within the prothrombinase complex, the physiologically relevant form of FXa responsible for activation of prothrombin to thrombin in the coagulation cascade.34–36 This may be because AT is unable to compete effectively with the substrate prothrombin for the catalytic center of FXa in the prothrombinase complex.34 UFH and LMWH may also be prevented from inhibiting clot-associated FXa,13 possibly as a result of competition between AT and fibrin for FXa binding. However, small-molecule, direct FXa inhibitors are able to inhibit both free and prothrombinase-bound FXa, and may also be able to inhibit clot-associated FXa.37,38 This would prevent clot-associated FXa from activating prothrombin and thereby contributing to the procoagulant activity of thrombi, and therefore to the propagation of thrombosis.39 Furthermore, the indirect FXa inhibitors UFH and LMWH bind platelet factor 4, rendering it antigenic. This can lead to heparin-induced thrombocytopenia, characterized by a low platelet count and high risk of thrombosis.40 Direct FXa inhibitors have not been found to interact with platelet factor 4.41

Based on these reasons, the past decade has witnessed extensive research into small-molecule, orally active, direct FXa inhibitors.

Rivaroxaban

Rivaroxaban (BAY 59–7939; Bayer HealthCare AG and Scios, Inc.; Table 1) is an oral, direct FXa inhibitor in clinical development for the prevention and treatment of thromboembolic disorders. This compound potently inhibits FXa (Kᵢ 0.4 nM) with a >10 000-fold greater selectivity for FXa than for other related serine proteases, and effectively inhibits not only free FXa activity but also prothrombinase activity and clot-associated FXa activity.37,38

Rivaroxaban demonstrated potent antithrombotic effects in a variety of animal arterial and venous thrombosis models, and did not significantly prolong bleeding times at antithrombotic doses.37,42,43 These encouraging preclinical findings were supported by the results of phase I single- and multiple-dose studies. Rivaroxaban, administered in single doses of up to 80 mg or multiple doses of up to 30 mg twice daily (bid), was well-tolerated in healthy males, with predictable dose-proportional pharmacokinetics (PK) and pharmacodynamics.
Maximum rivaroxaban plasma concentrations were achieved rapidly (only 2.5 to 4 hours after oral administration), with a terminal half-life of 5 to 9 hours, and there was no evidence of relevant accumulation beyond steady state.\textsuperscript{44,45} The relative oral bioavailability of rivaroxaban was $\approx 80\%$.\textsuperscript{44} Furthermore, there was a good correlation between plasma levels of rivaroxaban and inhibition of FXa activity or prolongation of prothrombin time (PT) at all doses tested.\textsuperscript{44,45} It is likely that the half-life of 5 to 9 hours determined in healthy subjects may be prolonged further in the clinical setting, particularly in elderly patients (whose renal clearance of rivaroxaban may be delayed) and in those undergoing surgery.

Rivaroxaban was rapidly excreted in healthy human subjects after oral administration.\textsuperscript{46} Excretion was dual-mode, via the biliary/fecal (28\%) and renal (66\%) routes, with 36\% of rivaroxaban excreted as unchanged drug in the urine. Unchanged rivaroxaban was identified as the main compound of rivaroxaban excreted as unchanged drug in human plasma at all time points investigated (up to 12 hours), and no major active circulating metabolites were detected.

Results of further phase I studies demonstrated that gender and body weight had no clinically relevant influence on the PK and PD of rivaroxaban in healthy subjects, suggesting that rivaroxaban could be administered at a fixed dose, regardless of patients’ gender or weight.\textsuperscript{45} The absorption of rivaroxaban was moderately increased by coadministration with food (increased $C_{\text{max}}$ and AUC), irrespective of food type, and coadministration with food was found to reduce interpatient variability, thereby increasing the predictability of rivaroxaban.\textsuperscript{48} Rivaroxaban also demonstrated a low propensity for clinically relevant drug–drug interactions with aspirin, the non-steroidal anti-inflammatory drug naproxen, and the cardiac glycoside digoxin.\textsuperscript{49–51} These are potential concomitant medications in patients receiving anticoagulants for the prevention and treatment of thromboembolic disorders. There was no PK interaction with the combination of rivaroxaban and enoxaparin; although moderate additive effects on anti-FXa activity (increases of 48\% and 43\% compared with rivaroxaban and enoxaparin, respectively) and PT (increase of 38\% compared with enoxaparin) were observed, these were not considered clinically important. This suggests that rivaroxaban and enoxaparin could be given concomitantly or sequentially, eg, for bridging therapy.\textsuperscript{52} Overall, rivaroxaban was well tolerated in phase I studies. In addition, rivaroxaban did not prolong the QTc interval (QT interval corrected for heart rate) in elderly patients, who represent a significant proportion of the potential target patient population.\textsuperscript{53}

One phase Ia and 3 phase IIb clinical studies of rivaroxaban for the prevention of VTE in patients undergoing major orthopedic surgery were performed. In all 4 studies, the primary efficacy end point was the composite of the incidence of any DVT, objectively confirmed, nonfatal PE, and all-cause mortality in the per-protocol population. The primary safety end point was major bleeding. Results of the three phase IIb studies are shown in Table 2.

Proof of principle for rivaroxaban for the prevention of VTE was demonstrated in a phase Ia open-label study conducted in patients undergoing elective primary total hip replacement (THR).\textsuperscript{54} Two phase IIb, double-blind, dose-finding studies with twice-daily rivaroxaban were then conducted; one in 706 patients undergoing elective THR and one in 613 patients undergoing elective total knee replacement (TKR).\textsuperscript{55,56} In
both studies, patients were randomly assigned to receive oral rivaroxaban at total daily doses of 5, 10, 20, 40, and 60 mg (administered with a bid dosing regimen), initiated 6 to 8 hours after surgery, or subcutaneous enoxaparin (40 mg once daily [od] initiated the evening before surgery and 30 mg bid initiated 12 to 24 hours after surgery in the hip and knee studies, respectively). Treatment was continued for 5 to 9 days until mandatory bilateral venography for the assessment of DVT was performed.

In both the hip and knee phase Ib study, observed incidences of the primary efficacy end point with all doses of rivaroxaban tested were similar to, or lower than, those with enoxaparin (Table 2).55,56 Significant dose–response relationships between rivaroxaban and the primary efficacy end point were not observed in either study, which could be attributable to the efficacy of the lower rivaroxaban doses. Although trends for increasing incidences of major, postoperative bleeding with increasing rivaroxaban dose were reported (P = 0.0007 and P = 0.045 for the knee and hip replacement studies, respectively; Table 2), no rivaroxaban dose group had a significantly higher incidence of major bleeding than the enoxaparin dose groups. However, the studies were not powered to detect differences between treatment groups. The observed incidences of major, postoperative bleeding with the lower doses of rivaroxaban (total daily doses of 5 to 20 mg) were similar to those with enoxaparin. Overall, these studies suggested that oral rivaroxaban at total daily doses of 5 to 20 mg had similar efficacy and safety to enoxaparin for the prevention of VTE after orthopedic surgery.55,56

As in healthy subjects, rivaroxaban had predictable PK and PD in patients who have undergone major orthopedic surgery.44,45,57 Good correlations were observed between rivaroxaban plasma concentration and inhibition of FXa activity and prolongation of PT. Age, renal function, and body weight had only small effects on the PK parameters of rivaroxaban, suggesting that rivaroxaban could be administered at a fixed dose, regardless of patients’ age, renal function, or body weight.

A fourth phase II study of rivaroxaban—a further phase Ib, randomized, double-blind study—investigated a more convenient od dosing regimen for the prevention of VTE in patients undergoing elective THR, compared with enoxaparin.58 Patients (N = 873) were randomized to receive oral rivaroxaban 5, 10, 20, 30, or 40 mg od (initiated 6 to 8 hours after surgery), or subcutaneous enoxaparin 40 mg od (initiated the evening before surgery). Treatment continued for a further 5 to 9 days and mandatory bilateral venography was performed the next day.

Incidentes of the primary efficacy end point were lower in patients receiving rivaroxaban at any of the doses tested, compared with patients receiving enoxaparin (Table 2). There was no dose–response relationship between rivaroxaban and the primary efficacy end point (P = 0.0852); however, there was a significant decrease in the incidence of major VTE (the composite of proximal DVT; symptomatic, confirmed, nonfatal PE; and VTE-related death) with increasing rivaroxaban dose (P = 0.0072).58 A significant dose–response relationship was also observed between rivaroxaban and major, postoperative bleeding (P = 0.0391). It was concluded from this study that an od rivaroxaban dosing regimen was feasible in this indication and that 10 mg, a dose within the range identified by the bid studies, provided the optimal combination of efficacy and safety, and, therefore, should be investigated further.

This 10 mg od dosing regimen is currently being investigated in the phase III studies of rivaroxaban for the prevention of VTE after major orthopedic surgery. Initiated in December 2005, these studies (the RECORD studies [Regulation of Coagulation in major Orthopaedic surgery reducing the Risk of DVT and PE]) will enroll > 10 000 patients worldwide. They comprise 4 separate studies comparing short-term or long-term rivaroxaban therapy with short-term or long-term enoxaparin therapy in patients undergoing THR and TKR (www.clinicaltrials.gov; NCT00329628, NCT00332020, NCT00361894, NCT00362232).

Across the phase Ib VTE prevention studies, elevated levels of the liver enzymes alanine aminotransferase or aspartate aminotransferase were low. There did not appear to be any dose dependency between rivaroxaban and increased liver enzymes.55,56,58

In addition to VTE prevention, rivaroxaban was also evaluated for the initial treatment (and secondary prevention) of acute, symptomatic, proximal DVT in 2 phase Ib dose-ranging studies.59,60 The studies, which enrolled 1156 patients, investigated the efficacy and safety of 3 months of double-blind rivaroxaban (od or bid) at total daily doses of 20 to 60 mg. Rivaroxaban was compared with a standard therapy of parenterally administered heparin or LMWH followed by an oral VKA (eg, warfarin).

The results after 3 months of treatment suggest that rivaroxaban, given once or twice daily, effectively reduced the incidence of recurrent DVT, PE, and all-cause mortality to a similar degree as standard therapy.59,60 The rates of recurrent DVT were low across all rivaroxaban treatment groups, and similar to those with standard therapy. Rivaroxaban also reduced thrombus size (a surrogate efficacy end point) to a similar extent as standard therapy. In the od study, symptomatic VTE events (VTE-related death, PE, and recurrent DVT) were lower with all rivaroxaban doses tested than with the comparator.60 The incidence of major bleeding was low in both studies (1.7% to 3.3% with rivaroxaban versus an unexpectedly low 0.0% with standard therapy in the bid study, and 0.0% to 1.5% with rivaroxaban versus 1.5% with standard therapy in the od study). Overall, the studies suggested that rivaroxaban, given once or twice daily, has a similar efficacy and safety to standard therapy for the treatment of proximal DVT. Based on these promising findings, a phase III program with long-term od rivaroxaban for the treatment of VTE has been initiated. The program comprises 2 open-label studies (1 in patients with DVT, and the other in patients with PE) comparing rivaroxaban treatment of up to 12 months with standard therapy, and a third, double-blind, placebo-controlled study investigating prolonged rivaroxaban treatment (in patients with DVT or PE). A main rivaroxaban dose of 20 mg od is being investigated in all 3 studies.

These phase Ib DVT treatment studies contributed to the choice of dose for a phase III program of rivaroxaban for the long-term prevention of stroke in patients with AF, which has
been initiated. As in the phase III VTE treatment program, a
main rivaroxaban dose of 20 mg od is being investigated
(www.clinicaltrials.gov; NCT00403767). Furthermore, a
phase II, double-blind, randomized, placebo-controlled, dose-
finding study is planned in patients with recent acute coro-
nary syndromes (www.clinicaltrials.gov; NCT00402597).

In summary, rivaroxaban has completed extensive phase II
studies in 2 indications and has entered large-scale phase III
studies in 3 indications (VTE prevention, VTE treatment, and
stroke prevention in patients with AF). Rivaroxaban holds
promising clinical potential for acute and long-term predict-
able anticoagulant care.

**LY517717**

LY517717 (Lilly; Table 1) is an oral, direct FXa inhibitor in
clinical development for the prevention of VTE after TKR or
THR. In preclinical studies, LY517717 was shown to have a
K_i of 4.6 to 6.6 nM, an oral bioavailability of 25% to 82%,
and a 1000-fold greater selectivity for FXa than related serine
proteases.61 It also demonstrated antithrombotic effects both
in vitro and in vivo in a rat arteriovenous shunt model, and
studies in dogs suggested that the compound did not have
associated bleeding issues.61

LY517717 was well tolerated in healthy subjects and, with a
half-life of ≈25 hours, would be suitable for an od dosing
regimen. Elimination of LY517717 appeared to be primarily
via the gastrointestinal route.62

Based on these findings, a phase II, double-blind, double-
dummy, dose-ranging study was initiated to determine the
efficacy and safety of LY517717, compared with enoxaparin,
for the prevention of VTE in patients undergoing TKR or
THR.62 Patients (N=511) were randomized to receive 1 of 6
oral doses of LY517717 (25, 50, 75, 100, 125, or 150 mg od)
initiated postoperatively, or enoxaparin 40 mg od initiated
the evening before surgery. Treatment was continued for a total
of 6 to 10 doses; patients underwent mandatory bilateral
venography within 12 hours of the last dose and were
assessed for symptomatic DVT, PE, and bleeding events until
day 30 (±7) after treatment initiation. The primary efficacy
dose point of the study was the incidence of VTE in the
per-protocol population at the end of treatment, and safety
end points were the incidences of major and minor bleeding
up to 30 days after treatment initiation.

Because of lack of efficacy, the 3 lowest LY517717 dose
arms were stopped early and the study was completed with
the 3 highest doses only. The 100, 125, and 150 mg od doses
of LY517717 were not inferior to enoxaparin, with similar
incidences of the efficacy end point (17.1% to 24.0% versus
22.2% with enoxaparin) and lower incidences of major
bleeding (0.0% to 0.9% versus 1.1% with enoxaparin) and
minor bleeding (0.0% to 1.0% versus 2.2% with enoxapa-
rin).62 Dose–response relationships were observed between
LY517717 and prolongation of PT, and exposure (measured
by the area under the plasma concentration–time curve).
Gender and creatinine clearance were found to affect
LY517717 exposure and were thus partly responsible for the
reported intra-subject variability of 35%.

No information is currently available regarding the future
plans for LY517717.

**YM150**

YM150 (Astellas; Table 1) is in development for the preven-
tion of DVT and thromboembolic complications in patients
with AF. The compound has a K_i for FXa of 31 nM, and
inhibits prothrombin activation induced by free FXa, pro-
thrombinase, and whole-blood clots.63 YM150 demonstrated
potent antithrombotic effects in animal models of venous and
arterial thrombosis at doses that did not prolong bleeding
time; this in vivo antithrombotic activity was also produced
by its active metabolite, YM-222714. Food was not found to
interfere with the absorption of YM150.63

In phase I studies, the compound showed immediate
antithrombotic action after oral administration and was not
found to interact significantly with food.64 PK effects corre-
lated with PD effects, and a dose–response relationship
between YM150 and PD was observed. Furthermore, these
studies showed low variability in YM150 plasma concentra-
tion after oral single and multiple doses.

A randomized, open-label, proof-of-principle phase IIa
study was performed in 174 patients to assess the safety and
efficacy of 7 to 10 days of treatment with oral YM150 (3, 10,
30, or 60 mg od) for the prevention of VTE after THR,
relative to enoxaparin 40 mg od.65 A significant dose–
response relationship between YM150 and the incidence of
VTE (the primary efficacy end point) was observed
(P=0.006). No major bleeding events were reported in any
study arm and no significant dose–response relationship
was observed between YM150 10 to 60 mg and the incidence
of minor bleeding. Overall, oral YM150 at doses of 10 to 60 mg
od was shown to be well tolerated and effective. A large-
scale, double-blind, dose-finding phase IIb study (ONYX-2)
has now been initiated to confirm the efficacy and safety of
YM150 in patients undergoing THR (www.clinicaltrials.gov;
NCT00353678).

**DU-176b**

DU-176b (Daiichi Sankyo) has a K_i for FXa of 0.56 nM and
a 10 000-fold higher selectivity for FXa than for thrombin.66
DU-176b dose-dependently prolonged PT and activated
partial thromboplastin time in human plasma. The compound
exhibited high oral bioavailability in rats and monkeys and
antithrombotic effects in both venous and arterial models of
thrombosis in rats.66 Comparisons between DU-176b and
other anticoagulants in rat models suggested that the therape-
utic dose range of DU-176b might be wider than that of
UFH, LMWHs, and warfarin, because of a lower risk of
bleeding;67 furthermore, a much higher dose of the indirect
FXa inhibitor fondaparinux was required to inhibit arterial
compared with venous thrombosis, whereas DU-176b pre-
vented arterial and venous thrombosis within the same dose
range.68 DU-176b was also found to potentiate the effects of
the antiplatelet agent ticlopidine and tissue plasminogen
activator in rat thrombosis models, suggesting that combina-
tion therapy of DU-176b with either of these agents may be
clinically beneficial.69 As expected, AT deficiency did not
affect the antithrombotic potency of DU-176b, suggesting
that this compound could be used in patients with low plasma
AT concentrations.70
DU-176b significantly reduced thrombus formation in both venous and arterial conditions in a phase I study in 12 healthy adults, as assessed ex vivo using a Badimon chamber.\textsuperscript{71} Inhibition of FXa activity peaked 1.5 hours after dosing and returned to baseline 12 hours postdose, with antithrombotic effects persisting for up to 5 hours postdose. Pharmacological analyses showed that DU-176b was scarcely metabolized and suggested the potential for convenient od dosing.\textsuperscript{72}

Based on the wealth of available preclinical data and promising phase I results, a phase Ia, open-label, dose-finding study of DU-176b for the prevention of VTE after THR was initiated (www.clinicaltrials.gov; NCT00107900), involving \~600 patients. Results of this trial are not currently available.

Phase IIb studies with DU-176b for the prevention of VTE and the prevention of stroke in patients with AF are in the planning stages. Studies in patients with acute coronary syndromes are also planned.

**Apixaban**

Apixaban (Bristol-Myers Squibb; Table 1) is a follow-up compound to the oral, direct FXa inhibitor razaxaban and is believed to have a superior risk-to-benefit ratio with respect to bleeding. Apixaban is a highly selective and potent (K\textsubscript{i} = 0.8 nM) inhibitor of both free and prothrombinase-bound FXa.\textsuperscript{73} In animal models, the compound was found to have a high oral bioavailability (51\%, 88\%, and 34\% in chimpanzees, dogs, and rats, respectively), multiple pathways of elimination, including renal and fecal excretion, and minimal potential for drug–drug interactions and the formation of reactive metabolites.\textsuperscript{74} Furthermore, it demonstrated potent antithrombotic effects in a rabbit model of venous thrombosis, at doses that preserved hemostasis.\textsuperscript{75}

A phase Ib study of apixaban for the prevention of VTE in patients undergoing TKR has recently been completed. The randomized study compared 6 doses of oral, double-blind apixaban (5, 10, or 20 mg given as a single or twice-daily divided dose) with open-label enoxaparin or warfarin for 10 to 14 days in 1217 patients. The incidence of the primary efficacy end point (composite of DVT, PE, and all-cause mortality) in the apixaban groups combined was significantly lower than in the enoxaparin and warfarin groups (P\textless{}0.02 and P\textless{}0.01, respectively). Moreover, there was a low incidence of major bleeding with apixaban (0.0 to 3.3\% versus an unexpectedly low rate of 0.0\% in enoxaparin and warfarin groups).\textsuperscript{76} A phase III study in this indication has been initiated (www.clinicaltrials.gov; NCT00371683); a phase III study in patients undergoing THR is also planned (www.clinicaltrials.gov; NCT00423319). Both studies will assess the efficacy and safety of apixaban, compared with enoxaparin.

An extensive phase II program to assess the efficacy and safety of apixaban in 3 other indications is currently underway. Approximately 520 patients are expected to be recruited to the Botticelli-DVT study, which will compare apixaban 5 mg bid, 10 mg bid, and 20 mg od with LMWH/fondaparinux and VKAs for the treatment of acute, symptomatic DVT (www.clinicaltrials.gov; NCT00252005). A larger study, potentially involving up to 1800 patients, has begun to assess the efficacy and safety of apixaban in patients who have recently had unstable angina or a heart attack (www.clinicaltrials.gov; NCT00313300). The third study currently underway is investigating apixaban for the prevention of thromboembolic events in patients with advanced metastatic cancer and is expected to enroll 160 patients (www.clinicaltrials.gov; NCT00352055).

Finally, a phase III study has been initiated to evaluate the efficacy and safety of apixaban, compared with warfarin, for the prevention of stroke and systemic embolism in patients with nonvalvular AF (www.clinicaltrials.gov; NCT00412984). This randomized, double-blind, parallel-arm study is expected to enroll 15 000 patients.

**813893**

The direct FXa inhibitor 813893 (GlaxoSmithKline) is in phase II for the prevention of VTE after orthopedic surgery and in phase I for the prevention of stroke in patients with AF. Preclinical data showed that this compound had a K\textsubscript{i} of 7 nM for FXa, a bioavailability of 91\% in rats and >55\% in dogs, and no cytochrome P450 interactions.\textsuperscript{77} Phase I studies demonstrated that 813893 was well tolerated with linear PK.

**PRT054021**

Formerly known as MLN-1021, the oral, direct FXa inhibitor PRT054021 (Portola) has a K\textsubscript{i} for FXa of 0.117 nM, bioavailability of 47\%, and a half-life of 19 hours. PRT054021 demonstrated antithrombotic activity in animal models of thrombosis at doses that were found to inhibit thrombin generation in human blood.\textsuperscript{78}

PRT054021 was well tolerated at a wide range of doses in a phase I dose-escalation study involving 64 patients. The compound displayed a long half-life, suggesting a potential for od dosing, and had predictable PK and PD effects, as well as minimal interactions with food. PRT054021 was excreted almost unchanged in bile.

A multicenter, randomized phase II study has recently been initiated to evaluate the safety and efficacy of PRT054021 40 mg bid and 15 mg bid compared with enoxaparin 30 mg for the prevention of VTE in \~200 patients undergoing TKR (www.clinicaltrials.gov; NCT00375609). There are also plans to develop PRT054021 for the prevention and treatment of DVT, the prevention of stroke in patients with AF, and the secondary prevention of stroke and myocardial infarction.

**Conclusions and Future Perspectives**

Convincing evidence suggests that FXa may be an optimal target for a safe and effective anticoagulant for the prevention and treatment of thromboembolic events. Although effective, indirect FXa inhibitors, such as fondaparinux, require parenteral administration. Parenteral administration is not clinically suitable for long-term use, such as in the treatment of DVT and the prevention of stroke in patients with AF. With the advent of sophisticated chemical techniques, recent years have witnessed huge efforts to synthesize small-molecules direct FXa inhibitors. Not only do these drugs offer the convenience of oral dosing but also they are likely to have predictable PK and PD profiles. As a result, anticoagulant effects are more likely to remain within the
Several oral, direct FXa inhibitors in development have been discussed. Table 3 summarizes the stage of development for each, by indication. Rivaroxaban is currently the furthest ahead in its development program, having entered phase III in 3 indications: (1) the prevention of VTE after major orthopedic surgery; (2) the treatment of VTE; and (3) the prevention of stroke in patients with AF. Rivaroxaban has also entered phase II in acute coronary syndromes. Apixaban, LY517717, and YM150 showed promise in phase II clinical studies. Phase III studies of apixaban for VTE prevention after major orthopedic surgery and the prevention of stroke in patients with AF have been initiated. The other compounds discussed in this review are also promising. Moreover, additional oral, direct FXa inhibitors are believed to be in development, for example, AVE-3247, EMD-503982, and KFA-1982, although available information on these compounds is extremely limited. The oral, direct FXa inhibitors in development have demonstrated differing effects on laboratory coagulation tests, such as PT, activated partial thromboplastin time, and International Normalized Ratio, but there is no evidence to suggest a correlation between these effects and global outcomes.

Ultimately, only large-scale phase III studies will determine which compounds successfully balance efficacy with safety. The direct thrombin inhibitor dabigatran etexilate is also in advanced clinical development for the prevention and treatment of thromboembolic disorders. In addition, there are other oral, direct thrombin inhibitors in various stages of development, as well as at least one oral, direct Factor IXa inhibitor.

Whatever the mechanism of action of the first new generation anticoagulant to reach the market, it is clear that the established methods of anticoagulant therapy will soon change. There is a wealth of promising oral, direct FXa inhibitors in development that will likely become available to the clinician in the not-too-distant future. As a result, patients should be able to experience convenient, oral anticoagulation without the need for frequent monitoring or dose adjustment.

**Disclosures**

A.G.G.T. is a consultant to Astellas, Bayer HealthCare AG and Scios, Inc., GlaxoSmithKline, Portola, and Sanofi-Aventis.

**References**


**TABLE 3. Oral, Direct FXa Inhibitors in Development**

<table>
<thead>
<tr>
<th>Drug</th>
<th>Manufacturer</th>
<th>Indications and Stage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rivaroxaban</td>
<td>Bayer HealthCare AG and Scios, Inc.</td>
<td>Phase III for VTE prevention after orthopedic surgery, prevention of stroke in patients with AF, and treatment of acute DVT phase II for ACS</td>
</tr>
<tr>
<td>LY517717</td>
<td>Lilly</td>
<td>Phase II for VTE prevention after orthopedic surgery</td>
</tr>
<tr>
<td>YM150</td>
<td>Astellas</td>
<td>Phase II for VTE prevention after orthopedic surgery</td>
</tr>
<tr>
<td>DU-176b</td>
<td>Daiichi Sankyo</td>
<td>Phase II for VTE prevention after orthopedic surgery; phase IIb studies planned for VTE prevention and prevention of stroke in patients with AF</td>
</tr>
<tr>
<td>Apixaban</td>
<td>Bristol-Myers Squibb</td>
<td>Phase II for VTE prevention after orthopedic surgery, treatment of acute DVT, VTE prevention in patients with unstable angina or heart attack, and prevention of thromboembolic events in patients with advanced metastatic cancer</td>
</tr>
<tr>
<td>813893</td>
<td>GlaxoSmithKline</td>
<td>Phase I</td>
</tr>
<tr>
<td>PRT-054021</td>
<td>Portola</td>
<td>Phase II for VTE prevention after orthopedic surgery</td>
</tr>
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Oral, Direct Factor Xa Inhibitors in Development for the Prevention and Treatment of Thromboembolic Diseases
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