Progression of Carotid Intima-Media Thickness and Plasma Antioxidants: The Los Angeles Atherosclerosis Study

James H. Dwyer, Maura J. Paul-Labrador, Jing Fan, Anne M. Shircore, C. Noel Bairey Merz, Kathleen M. Dwyer

Objective—Recent epidemiologic and animal model data suggest that oxygenated carotenoids are protective against early atherosclerosis. We assessed the association between atherosclerotic progression, measured by carotid intima-media thickness (IMT), and plasma levels of oxygenated and hydrocarbon carotenoids, tocopherols, retinol, and ascorbic acid.

Methods and Results—Participants were from an occupational cohort of 573 middle-aged women and men who were free of symptomatic cardiovascular disease at baseline. Ultrasound examination of the common carotid arteries, lipid level determination, and risk factor assessment were performed at baseline and 18-month follow-up. Plasma levels of antioxidants were determined at baseline only. Change in IMT was related to baseline plasma antioxidant levels in regression models controlling for covariates. In models adjusted for age, sex, and smoking status, 18-month change in IMT was significantly inversely related to the 3 measured oxygenated carotenoids (lutein, β-cryptoxanthin, zeaxanthin; \( P < 0.02 \) for all) and one hydrocarbon carotenoid, α-carotene (\( P = 0.003 \)). After adjusting for additional cardiac risk factors and potential confounders, including high-sensitivity C-reactive protein, these associations remained significant (\( P < 0.05 \)).

Conclusions—These findings suggest that higher levels of plasma oxygenated carotenoids (lutein, zeaxanthin, β-cryptoxanthin) and α-carotene may be protective against early atherosclerosis. (Arterioscler Thromb Vasc Biol. 2004;24:313-319.)

Key Words: atherosclerosis • antioxidants • carotid arteries

The oxidative-modification hypothesis proposes that atherogenesis is initiated by oxidative damage to low-density lipoproteins (LDL) in the artery wall. The presence of oxidized LDL in the subendothelium of arteries stimulates monocyte recruitment and differentiation to macrophage, resulting in the formation of foam cells and increased thickness of arterial walls.1 Antioxidants have been hypothesized to inhibit lipid peroxidation and play a protective role against chronic diseases such as cardiovascular disease.2 In vitro studies suggest that vitamins C and E and carotenoids inhibit the damaging activities of oxidized LDL cholesterol.3–5 Evidence from population studies, including descriptive, case-control, and cohort studies, has shown that dietary,6–11 plasma, or serum level of vitamin E,12–14 ascorbic acid,15–17 and carotenoids18–22 were inversely associated with cardiovascular mortality rate or early atherosclerosis. However, other epidemiological studies have reported no association between cardiovascular events with plasma23,24 or serum antioxidants,25–27 and dominantly negative results have been reported from intervention trials of β-carotene or vitamin E.28–32

We previously reported that dietary supplementation with lutein reduced atherosclerosis in two strains of susceptible mice.22 We also found that plasma lutein was inversely associated with progression of atherosclerosis, as measured by carotid intima-media thickness (IMT), in a cohort of middle-aged women and men.22 In this report from the same cohort, we present relations between IMT progression and baseline plasma levels of several possible antioxidants, including ascorbic acid, α- and γ-tocopherol, lutein, and other carotenoids. According to their chemical structure, carotenoids were categorized into two profiles for the analysis: non-polar hydrocarbon carotenoids without an oxygen atom (α-carotene, β-carotene, lycopene) and polar oxygenated carotenoids with 1 to 6 oxygen atoms (lutein, zeaxanthin, β-cryptoxanthin).33

Methods

Study Population

The Los Angeles Atherosclerosis Study (LAAS) is a prospective investigation of relationships between potential etiologic factors and...
pre-clinical atherosclerosis and has been described previously.2,24
Briefly, all participants were randomly sampled from a large utility
company and were free of symptomatic cardiovascular disease. The
participation rate was 85%, resulting in a baseline sample size of 573
women and men aged 40 to 60 years. The baseline examination was
conducted between 1995 and 1996, and follow-up occurred approxi-
mately 18 months later (mean ±SD: 18.1±2.4 months). All partic-
ips signed an informed consent approved by the Institutional
Review Board of the Keck School of Medicine at the University of
Southern California before study participation.

Measurements
At each examination, subjects completed a questionnaire regarding
information on demographic status, medication use, and health
behaviors. Blood pressure, body weight, and height were also
measured. Carotid IMT, an indicator of intimal thickening, was
measured by high-resolution B-mode ultrasound with an ATL
scanner.35 Procedures for image acquisition and processing have
been reported previously.36 Briefly, bilateral carotid IMT was
measured in two examinations. During the baseline examination,
participants were scanned in two body positions (supine and lateral).
In the 18-month examination, the ultrasound image was scanned in
the supine position only. A mean IMT score, averaged over two
sides, was used in the analysis. A reproducibility study conducted in
association with the baseline examination found a between-
sonographer coefficient of variation of 2.8% for IMT.36 All mea-
surements were conducted during a single examination in a mobile
van that was driven to the work site.

Plasma Assays
Fasting blood samples were collected by venipuncture at baseline.
Specimens were centrifuged, and plasma was separated, treated with
nitrogen, aliquoted, stored at −20 °C for up to 2 days, and then frozen
at −70 °C. Storage time before analysis averaged (mean±SD)
1.2±0.3 years. Plasma ascorbic acid was analyzed by high-pressure
liquid chromatography (HPLC) according to the method of Kutnink
et al.37 To prevent oxidation during sample storage, an equal volume
(500 μL) of 5% or 10% meta-phosphoric acid (MPA) was added to
plasma before the sample was stored. Statistical analyses were
adjusted for concentration of MPA added to the plasma to adjust for
the effects of MPA concentration on measured ascorbic acid levels.
Isosorbic acid was used as an internal standard to compensate for
loss of ascorbic acid during sample processing.

Plasma levels of α-tocopherol, γ-tocopherol, carotenoids (lutein,
zeaxanthin, β-cryptoxanthin, α-carotene, β-carotene, and lycopene),
and retinol were determined with an HPLC-based assay derived from
the method described by Epler et al.38 All measurements were
performed at the Heber Laboratory (UCLA, California), which
participates in the National Cancer Institute/National Institute of
Standards and Technology Quality Assurance Program.39

Serum total cholesterol (TC) and high-density lipoprotein chole-
terol (HDL-C) levels were measured within 2 months of collection
by an enzymatic method using an automated clinical chemistry
analyzer at the University of Southern California. Non-HDL-C was
calculated as TC minus HDL-C.

Data Analysis
Rank order correlations and linear regression models were used to
investigate the association between plasma antioxidants and progres-
sion of IMT at 18 months. Because distributions of plasma anti-
oxidant concentrations were skewed, each plasma antioxidant was
divided into quintiles for regression analysis. Trend tests across
quintiles were computed by regressing change in IMT on the median
level of each antioxidant within each quintile. Model 1 was adjusted
for age, sex, and smoking status (current, former, and never smoker).
The multivariate model (model 2) further adjusted for BMI (kg/m²),
serum total cholesterol, HDL-C, systolic blood pressure (SBP),
current treatment for hypertension and elevated cholesterol, history
of diabetes, ethnicity (non-Hispanic white, black, Hispanic, Asian/
Pacific Islander, and other), alcohol intake (g/d), height, natural log
of high-sensitivity C-reactive protein (hsCRP), and number of days
between baseline and follow-up visit. SBP is the average of 2 seated
blood pressure measurements taken at baseline. For analyses of
ascorbic acid, the models were additionally adjusted for MPA levels.

Results
Of the 573 participants at baseline, IMT at 18-month
follow-up was available for 480 (84%) participants. There
were no significant differences at baseline between partici-
pants with and without follow-up, but those lost to follow-up
were 1 year older (P=0.06). An additional 3 subjects did not
have baseline plasma data and were excluded. Therefore, all
analyses for this study are based on a sample size of 477.
Characteristics of the cohort are presented in Table 1.

The correlations between plasma antioxidant levels and atherosclerotic risk factors are presented in Table 2. Two of
the three oxygenated carotenoids, both tocopherols, and
retinol were significantly and positively related to serum total
cholesterol level, whereas the hydrocarbon carotenoids were
all significantly inversely related to total cholesterol. This
suggests that the polarity of oxygenated carotenoids, tocoph-
erols, and retinol may allow these antioxidants to rely on
lipids, like cholesterol, for transportation through the blood
stream to various parts of the body. The water-soluble
antioxidant, ascorbic acid, had a significant inverse associ-
A statistically significant interaction was observed between zeaxanthin and sex (P=0.02), suggesting that zeaxanthin has a greater effect in reducing 18-month IMT progression in women than in men. This interaction was not observed with the other 9 plasma antioxidants examined, suggesting that in general the effects of plasma levels of antioxidants on IMT progression are similar in women and men.

We also tested for interactions between the relation of plasma antioxidant to IMT progression with current smoking and former smoking status (relative to never-smokers). Only 2 significant interactions were observed for current smoking, lycopene (P=0.03) and retinol (P=0.001). Lycopene was protective against IMT progression among smokers whereas retinol was a risk factor for IMT progression only among current smokers. There were no significant interactions with former smoking status (all P>0.05).

**Discussion**

The primary findings in the current study were that higher plasma levels of the oxygenated carotenoids (lutein, zeaxanthin, and \( \beta \)-cryptoxanthin) and \( \alpha \)-carotene at baseline were associated with reduced IMT progression over 18 months.

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<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Women (n=220)</th>
<th>Men (n=257)</th>
<th>Total (n=477)</th>
</tr>
</thead>
<tbody>
<tr>
<td>N (%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ethnicity (% White)</td>
<td>121 (55)</td>
<td>140 (54)</td>
<td>261 (55)</td>
</tr>
<tr>
<td>Education (% &lt; high school)</td>
<td>38 (17)</td>
<td>21 (8)*</td>
<td>59 (12)</td>
</tr>
<tr>
<td>Current smokers (%)</td>
<td>42 (19)</td>
<td>73 (28)†</td>
<td>115 (24)</td>
</tr>
<tr>
<td>Former smokers (%)</td>
<td>54 (25)</td>
<td>73 (28)</td>
<td>127 (27)</td>
</tr>
<tr>
<td>Diabetes mellitus (%)</td>
<td>7 (3)</td>
<td>7 (3)</td>
<td>14 (3)</td>
</tr>
<tr>
<td>Medication for hypertension (%)</td>
<td>44 (20)</td>
<td>36 (14)</td>
<td>80 (17)</td>
</tr>
<tr>
<td>Cholesterol-lowering medication (%)</td>
<td>7 (3)</td>
<td>22 (9)†</td>
<td>29 (6)</td>
</tr>
<tr>
<td><strong>Mean±SD</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age at baseline</td>
<td>51.4±4.3</td>
<td>48.6±4.6*</td>
<td>49.9±4.7</td>
</tr>
<tr>
<td>Body Mass Index (kg/m²)</td>
<td>27.2±6.1</td>
<td>28.6±4.8†</td>
<td>28.0±5.5</td>
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<tr>
<td>Systolic blood pressure (mmHg)</td>
<td>127.0±16.2</td>
<td>129.5±12.7</td>
<td>128.3±14.5</td>
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<tr>
<td>Diastolic blood pressure (mmHg)</td>
<td>88.0±9.5</td>
<td>91.6±8.8*</td>
<td>89.9±9.3</td>
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<tr>
<td>Alcohol intake (g/day)</td>
<td>3.8±7.7</td>
<td>8.9±12.9*</td>
<td>6.5±11.1</td>
</tr>
<tr>
<td>Common Carotid IMT (μm)</td>
<td>648.8±85.0</td>
<td>679.8±106.5*</td>
<td>665.0±98.4</td>
</tr>
<tr>
<td>18 month progression</td>
<td>595.0±134.4</td>
<td>674.0±118.0</td>
<td></td>
</tr>
<tr>
<td>Serum Lipid Levels (mmol/L)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Total Cholesterol</td>
<td>5.49±0.91</td>
<td>5.65±0.98</td>
<td>5.58±0.95</td>
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<tr>
<td>High Density Lipoprotein fraction</td>
<td>1.67±0.37</td>
<td>1.31±0.24*</td>
<td>1.47±0.36</td>
</tr>
<tr>
<td>Non High Density Lipoprotein fraction</td>
<td>3.82±0.97</td>
<td>4.34±1.00*</td>
<td>4.10±1.02</td>
</tr>
<tr>
<td><strong>Plasma Levels</strong></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>High Sensitivity C-Reactive Protein (mg/L)</td>
<td>3.52±3.27</td>
<td>2.03±2.01</td>
<td>2.72±2.76</td>
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<tr>
<td>Antioxidants (μmol/L)</td>
<td>(n=206)</td>
<td>(n=250)</td>
<td>(n=456)</td>
</tr>
<tr>
<td>Ascorbic acid</td>
<td>31.9±21.6</td>
<td>28.2±17.1†</td>
<td>29.9±19.3</td>
</tr>
<tr>
<td>Oxygenated carotenoids</td>
<td>(n=220)</td>
<td>(n=257)</td>
<td>(n=477)</td>
</tr>
<tr>
<td>Lutein</td>
<td>0.28±0.12</td>
<td>0.27±0.12</td>
<td>0.28±0.12</td>
</tr>
<tr>
<td>Zeaxanthin</td>
<td>0.06±0.04</td>
<td>0.06±0.03</td>
<td>0.06±0.04</td>
</tr>
<tr>
<td>( \beta )-cryptoxanthin</td>
<td>0.09±0.07</td>
<td>0.09±0.06</td>
<td>0.09±0.06</td>
</tr>
<tr>
<td>Hydrocarbon carotenoids</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( \alpha )-carotene</td>
<td>0.22±0.19</td>
<td>0.16±0.15*</td>
<td>0.19±0.17</td>
</tr>
<tr>
<td>( \beta )-carotene</td>
<td>0.86±0.83</td>
<td>0.64±0.75*</td>
<td>0.74±0.79</td>
</tr>
<tr>
<td>Lycopene</td>
<td>0.76±0.73</td>
<td>0.76±0.71</td>
<td>0.76±0.72</td>
</tr>
<tr>
<td>Tocopherols</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( \alpha )-tocopherol</td>
<td>30.06±13.37</td>
<td>30.38±12.46</td>
<td>30.23±12.88</td>
</tr>
<tr>
<td>( \gamma )-tocopherol</td>
<td>5.16±3.60</td>
<td>5.68±3.18</td>
<td>5.44±3.39</td>
</tr>
<tr>
<td>Retinol</td>
<td>2.08±0.62</td>
<td>2.35±0.67*</td>
<td>2.23±0.66</td>
</tr>
</tbody>
</table>

*P<0.001 for difference between gender groups.
†P<0.05 for difference between gender groups.
Plasma levels of 2 hydrocarbon carotenoids (β-carotene and lycopene), retinol, ascorbic acid, and tocopherols were not significantly associated with IMT progression.

Carotid IMT was used to assess atherosclerosis in early stages of disease and has been shown to predict cardiac events including myocardial infarction and stroke. Furthermore, it was recently reported that rates of common carotid IMT progression was increased 3-fold in persons with angiographically confirmed coronary artery disease relative to normal controls.

Some previous studies found that carotenoids were more protective against cardiovascular outcomes among smokers than non-smokers. We tested for interactions between plasma antioxidant levels and current or former smoking status (relative to non-smokers) as they relate to IMT progression. Because there were significant interactions with current smoking for only 2 of the 10 plasma measures examined, it is plausible that these results were caused by chance. However, the interaction between smoking and retinol was highly significant (P=0.001), suggesting an atherogenic effect of elevated plasma retinol or dietary retinol, and should be examined further in future studies.

One of the criticisms of previous plasma antioxidant studies, and a potential explanation for the conflicting results observed, is that study designs did not account for the impact of inflammation on plasma antioxidant levels and atherosclerosis. For example, serum antioxidant levels are lower during acute illness as measured by inflammatory markers such as CRP. Our data are consistent with these findings in that higher plasma levels of hsCRP were correlated with lower plasma levels of ascorbic acid and each carotenoid (Table 2). For this reason, regression model 2 included adjustment for (logarithm) hsCRP. The important finding was that adjustment for hsCRP did not alter the inverse associations between IMT progression and plasma oxygenated carotenoids or α-carotene, suggesting that observed inverse associations were not caused by confounding by the effects of chronic inflammation.

The observed associations between oxygenated and hydrocarbon carotenoid levels and 18-month IMT progression may result, in part, from the unique geometric structure of the carotenoid molecules. Oxygenated carotenoids have polar ring structures at the end of conjugated double-bond chains, whereas the hydrocarbon carotenes lack polar chains and ring structures, thus making them non-polar. The polarity afforded to the oxygenated carotenoids allows them to be incorporated into lipid micelles in larger concentrations than the nonpolar hydrocarbon carotenones. The micelles are then transported to the intestinal mucosal cells, where the carotenoids are ab-
as the subjects categorized as exceeding the 90th percentile of IMT, and control subjects (n=231) were categorized as below the 75th percentile. They reported that carotid IMT was inversely and significantly related with serum C-reactive protein, and the number of days between baseline and follow-up visit (N=469). Units are (μm/L plasma antioxidant).


<table>
<thead>
<tr>
<th>Variable</th>
<th>Regression Coefficient</th>
<th>SE</th>
<th>P for Trend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ascorbic acid*</td>
<td>Model 1: -1.13</td>
<td>1.51</td>
<td>0.45</td>
</tr>
<tr>
<td></td>
<td>Model 2: 0.26</td>
<td>1.65</td>
<td>0.87</td>
</tr>
<tr>
<td>Oxygenated carotenoids</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lutein</td>
<td>Model 1: -3.23</td>
<td>1.35</td>
<td>0.017</td>
</tr>
<tr>
<td></td>
<td>Model 2: -2.88</td>
<td>1.43</td>
<td>0.045</td>
</tr>
<tr>
<td>Zeaxanthin</td>
<td>Model 1: -4.67</td>
<td>1.31</td>
<td>0.0004</td>
</tr>
<tr>
<td></td>
<td>Model 2: -4.62</td>
<td>1.37</td>
<td>0.0008</td>
</tr>
<tr>
<td>β-Cryptoxanthin</td>
<td>Model 1: -3.42</td>
<td>1.39</td>
<td>0.015</td>
</tr>
<tr>
<td></td>
<td>Model 2: -3.36</td>
<td>1.53</td>
<td>0.028</td>
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<td>Hydrocarbon carotenoids</td>
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<tr>
<td>α-Carotene</td>
<td>Model 1: -4.20</td>
<td>1.41</td>
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</tr>
<tr>
<td></td>
<td>Model 2: -4.21</td>
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<td>0.005</td>
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<td>β-Carotene</td>
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<td>1.40</td>
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<tr>
<td></td>
<td>Model 2: -1.40</td>
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<tr>
<td>Lycopene</td>
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<td>Model 2: 0.19</td>
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<td>Tocopherols</td>
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<td>α-Tocopherol</td>
<td>Model 1: -0.53</td>
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<td>0.70</td>
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<td></td>
<td>Model 2: -0.42</td>
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<td>0.78</td>
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<tr>
<td>γ-Tocopherol</td>
<td>Model 1: -0.60</td>
<td>1.36</td>
<td>0.66</td>
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<tr>
<td></td>
<td>Model 2: -1.44</td>
<td>1.51</td>
<td>0.34</td>
</tr>
<tr>
<td>Retinol</td>
<td>Model 1: 0.34</td>
<td>1.40</td>
<td>0.81</td>
</tr>
<tr>
<td></td>
<td>Model 2: 0.07</td>
<td>1.50</td>
<td>0.96</td>
</tr>
</tbody>
</table>

Model 1: Adjusted for age, sex, antioxidant and sex interaction, and smoking status (N=477). Model 2: Adjusted for age, sex, antioxidant and sex interaction, smoking status, BMI, serum total cholesterol, HDL-C, systolic blood pressure, treatment for hypertension and high cholesterol, diabetes, ethnicity, alcohol intake, height, natural log of high sensitivity C-Reactive Protein, and the number of days between baseline and follow-up visit (N=469). Units are (μm/L plasma antioxidant).

*Ascorbic acid models are adjusted for levels of meta-phosphoric acid (added during blood specimen processing) in addition to all other covariates for each respective model.

In contrast to the protective effects of oxygenated carotenoids found in our study and the two studies described, a recently reported nested case control study from the Physicians’ Health Study found that plasma levels of five carotenoids (α- and β-carotene, β-cryptoxanthin, lycopene, and lutein), retinol, and α- and γ-tocopherol were not significantly related to risk of myocardial infarction among current and former smokers, contrary to our results. The reasons for the apparent divergence of these findings from the other 3 studies of oxygenated carotenoids are unknown. Of the 3 positive studies, the current study and the ARIC findings used IMT endpoints, whereas the third recorded total mortality. The 3 studies also included women. In contrast, the physicians’ study was limited to men and used myocardial infarction as an endpoint. Further epidemiologic research and clinical trials will be needed to determine the effects of dietary intake of oxygenated carotenoids on cardiovascular outcomes.

We have previously reported the protective effect of lutein on the progression of carotid IMT at 18-month follow-up.
This finding motivated coculture and mouse model experiments.\textsuperscript{22} Pretreatment of the coculture cells with lutein as low as 10 nmol/L inhibited the inflammatory response of monocytes to LDL trapped in the artery wall (reduced monocyte migration 8-fold). In mouse models, lutein supplementation reduced lesion size 43% in LDL receptor-null mice (P = 0.02) and 44% in apoE-null mice (P = 0.009).\textsuperscript{22} Limitations of the current study stem from its observational design. Unmeasured confounding factors may be associated with both plasma levels of oxygenated carotenoids and atherosclerosis. For example, there may be other components of foods containing these compounds that explain the protective effects observed in epidemiologic studies. There may also be other factors, such as inflammation, that are associated with atherosclerosis and impact blood levels of carotenoids.\textsuperscript{47} However, the effects of lutein supplementation in mouse models,\textsuperscript{22} and our finding that adjustment for hsCRP did not explain protective associations, argue against a confounding explanation of our findings. The consistency of our findings for oxygenated carotenoids and IMT progression with those from ARIC for cross-sectional IMT\textsuperscript{20} also argue against explanation of findings in terms of artifacts of study design particular to either study.

In summary, this study provides evidence for an inverse association between plasma levels of oxygenated carotenoids and a-carotene with progression of carotid IMT. The consistency of these findings with two other epidemiologic studies, together with in vitro and animal model evidence for an anti-atherogenic effect of lutein,\textsuperscript{22} suggest that oxygenated carotenoids are protective against the pathogenesis of atherosclerosis. The anti-inflammatory effects of lutein in vitro suggest a mechanism for anti-atherogenic effects.\textsuperscript{22} However, randomized trials with oxygenated carotenoids and cardiovascular endpoints are needed to determine if the observed epidemiologic associations are causal in humans.

References


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